Treading the Thin Blue Line: Military Special-Operations Trained Police SWAT Teams and the Constitution

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MILITARY SPECIAL-OPERATIONS TRAINED POLICE
SWAT TEAMS AND THE CONSTITUTION

The increasing use of SWAT teams and paramilitary force by local law enforcement has been the focus of a growing concern regarding the heavy-handed exercise of police power. Critics question the constitutionality of joint-training between the military and civilian police, as well as the Fourth Amendment considerations raised by SWAT tactics. This Note examines the history, mission, and continuing need for police SWAT teams, addressing the constitutional issues raised concerning training and tactics. It explains how SWAT joint-training with the military is authorized by federal law and concludes that SWAT tactics are constitutionally acceptable in a majority of situations. Though these tactics are legal and constitutionally authorized, this Note acknowledges the valid fears critics have regarding the abuse of such police authority, and the limitations of constitutional tort jurisprudence in adequately redressing resulting injuries.

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INTRODUCTION

Americans awoke on the morning of April 23, 2000 to news images seemingly taken from popular counterterrorist adventure movies. U.S. Border Patrol agents with submachine guns and military-style jumpsuits had just rescued Elian Gonzalez from the home of his Miami relatives during a pre-dawn raid. The images were shocking to many Americans, and Republican lawmakers who had opposed the raid called for Congressional hearings into what they deemed an excessive use of police force.

Many law enforcement officials were surprised by this call for Congressional review of what they deemed a routine, “by-the-book” operation. The Immigration and Naturalization Service defended its use of the Border Patrol Tactical Unit by pointing to an increased threat of violence due to the tense situation, but seasoned critics were wary of such aggressive governmental actions.

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1 See Karen DeYoung, Raid Reunites Elian and Father, WASH. POST, Apr. 23, 2000, at A01 (detailing the raid events timeline).
2 See id.
3 See David Kidwell, Domestic News, MIAMI HERALD, May 2, 2000, at 1 (“Law enforcement experts agree that what appears to lay people as a bunch of out-of-control agents on testosterone overload is in truth a tactic taught at every SWAT and police training facility.”).
4 See Karen DeYoung, INS Says It Feared Violence in Raid; U.S. Claims Many Close to Elian’s Miami Kin Were Armed, Set to Fight, WASH. POST, Apr. 28, 2000, at A01.
due to their scandalous history.\textsuperscript{5}

The early 1990s incidents at Ruby Ridge, Idaho, and Waco, Texas, first brought the images of paramilitary law enforcement home to American television sets. The sight of law enforcement officers dressed in black and carrying submachine guns, laying siege to American residences, was seen by many individuals as per se police abuse.\textsuperscript{6} As the investigations into the aftermath of these incidents unfolded, and the truth concerning the mismanagement of these situations became known, many people believed the abuse of power they had witnessed was indicative of a general trend of heavy-handedness in a law enforcement community relying heavily on paramilitarism as police policy.\textsuperscript{7}

The discussion about paramilitary police units is ongoing.\textsuperscript{8} For example, in 1999, the Cato Institute issued a briefing paper entitled \textit{Warrior Cops: The Ominous Growth of Paramilitarism in American Police Departments}.\textsuperscript{9} Ms. Weber made several alarmist assertions concerning the increasing number of local law enforcement departments maintaining Special Weapons and Tactics (SWAT) teams. Among the concerns she raised were the relationships fostered between these teams and the Department of Defense (DOD) through joint training exercises; the alleged "military mindset" that is instilled in civilian units through this training; the incompatibility of this "military mindset" with civilian law enforcement's mission; the allegation that these SWAT units are being overdeployed for "routine" policing; and the inference that the totality of these circumstances indicates a standing violation of constitutional rights.\textsuperscript{10}

Such rhetoric makes great copy but does nothing to explain or resolve the underlying legal issues inherent in the discussion. What this Note attempts to do is to cut through the emotional rhetoric and provide an objective legal analysis of the issue of SWAT team policing.

SWAT training with the military, and the use of that training, while an

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\textsuperscript{6} See MORRIS DEES, GATHERING STORM, 69-78 (1996).

\textsuperscript{7} See DAVID KOPEL \& PAUL BLACKMAN, NO MORE WACOS (1997) (analyzing alleged errors in those ill-fated standoffs while recommending policy changes aimed at restricting use of paramilitary-type police units in federal law enforcement agencies).


\textsuperscript{10} See id. at 1.
emotional issue, is constitutionally valid. To support this assertion, this Note discusses the history, mission, and continuing need for police SWAT teams. Specifically, this Note examines the types of training SWAT teams receive, especially from the Department of Defense, and explains how joint training with the military is authorized under federal law, and furthers the SWAT mission. After a review of the constitutional restraints on police activities, this Note demonstrates that SWAT use of military tactics may be constitutionally acceptable in a majority of situations. This Note concludes by illustrating how the fears of critics, rightly concerned with the potential these tactics have for constitutional violations, may be valid, not because of any outright illegality of the SWAT tactics, but because of the limitations of constitutional tort jurisprudence in redressing injuries.

II. NEW AGE THINKING FOR NEW AGE CRIMES

A. The Birth of a Doctrine

The 1960s was an era of upheaval in American society. Emerging from this mix of social and political turmoil was a new crime wave characterized by an increased use of firearms coupled with ideological zealotry.11 Sometimes there was no apparent motive behind a rampage.12 For example, Charles Whitman, an emotionally-imbalanced veteran, climbed to the top of the University of Texas clock tower and wounded forty-six people below with sniper fire, killing fifteen.13 The Austin police, working without a coordinated anti-sniper plan, took over ninety minutes to storm the observation deck and kill Whitman.14 Many police departments viewed the Whitman incident as an omen of the future, showing the need for new approaches to police work. Former Los Angeles Police Chief Daryl Gates, at that time a patrol area commander with the LAPD,15 is credited with forming the first police unit specially trained to handle these high-risk situations.16 Gates' experiences during the Watts riots of 1966 started his quest to develop new police tactics to help control this changing face of crime. The Watts riots found Gates and other LAPD officers in a guerrilla warfare situation, under fire from random snipers and pelted with various objects.17 Traditional police tactics, such as merely having officers present as a deterrent, were ineffective against the

11 See DARYL GATES, CHIEF 110 (1992); ROBERT L. SNOW, SWAT TEAMS 6-7 (1996).
12 See GATES, supra note 11, at 109; SNOW, supra note 11, at 6.
13 See SNOW, supra note 11, at 1-7.
14 See id. at 7; Autrey, supra note 8.
15 See GATES, supra note 11, at 88, 113.
16 See Weber, supra note 9, at 6 (crediting the LAPD with forming the first Special Weapons and Tactics Team); GATES, supra note 11, at 114 (acknowledging his reputation as "the Father of SWAT").
17 See GATES, supra note 11, at 95.
disorganized rioting.\textsuperscript{18} Gates also found that traditional riot-control techniques were equally useless due to the decentralized nature of the mobs they faced. Similarly, the snipers that the LAPD encountered were equally beyond their capabilities. Gates describes the established method of dealing with Watts snipers as little more than indiscriminate shooting by the police.\textsuperscript{19} Shortly after the end of the Watts riots, Gates faced another serious situation that challenged his reliance on traditional police tactics.

The Surry Street shootings were the final straw for Gates. Officers responding to reports of a disturbance were ambushed by Jack Ray Hoxsie, who then barricaded himself inside his home and began shooting at anyone outside.\textsuperscript{20} Hoxsie, having used a shotgun and a rifle on the officers, apparently had an arsenal stashed inside.\textsuperscript{21} The response of other officers to this situation was to shoot back wildly, hoping to strike Hoxsie.\textsuperscript{22} Because this plan was not working, Gates decided to change his strategy. Two officers volunteered to run up and throw tear gas into the house. They donned the clumsy metal body armor of the era, which Gates describes as “Knights of the Round Table stuff,”\textsuperscript{23} and lurched toward the house. After lobbing tear gas grenades into a broken window, the volunteers stormed the house, located the wounded Hoxsie lying in a hallway, and shot him as he reached for a nearby handgun. Hoxsie survived, to be convicted later of attempted murder.\textsuperscript{24} The final toll was four wounded: three officers and a citizen who attempted to help them. Reflecting on the episode, Gates decided that a new, organized, approach was needed to handle snipers or barricaded criminals.\textsuperscript{25}

Gates and several other supervisors in the LAPD began studying guerrilla warfare tactics with the goal of forming anti-sniper teams.\textsuperscript{26} The war in Vietnam, ongoing at this time, was a source of guerrilla warfare information that soon led Gates to the U.S. Marine unit at a nearby naval armory. The Marines shared their knowledge of counterinsurgency operations and guerrilla warfare with the Gates team.\textsuperscript{27} Gates took this knowledge and used it to train several selected officers, in their off-time, in rudimentary anti-sniper techniques. These officers were not organized into any special teams, remaining in their regular police units. This lack of cohesion was one of the first things Gates attempted to remedy.

\textsuperscript{18} See id.
\textsuperscript{19} See id. at 102.
\textsuperscript{20} See id. at 108.
\textsuperscript{21} See id.
\textsuperscript{22} See id.
\textsuperscript{23} Id. at 109.
\textsuperscript{24} See id.
\textsuperscript{25} See id.
\textsuperscript{26} Gates and these supervisors initiated this project without official authorization from the LAPD command. See id.
\textsuperscript{27} See id.
As part of his duties, Gates was in charge of the Metro Division. Metro was a floating police unit formed to deal with unusual criminal activity anywhere in the city, such as a series of bus robberies that were plaguing Los Angeles at the time. Eventually Gates was able to have the sixty volunteer officers in his anti-sniper program transferred to the Metro division. These anti-sniper officers became known as the Special Weapons and Tactics (SWAT) unit.\(^\text{28}\)

Gates already had bucked LAPD tradition by organizing Metro into ten-officer squads, each headed by a sergeant.\(^\text{29}\) Organizing police officers into military-style teams was considered offensive by some LAPD higher-ups. The typical reaction to this restructuring was the comment that “the LAPD is supposed to be a civil police force . . . . [t]heir job is to relate to the community, not put on combat boots and helmets and assault the community.”\(^\text{30}\) Despite the criticism, Gates believed that changes to policing methods were needed to keep up with the changing times.\(^\text{31}\)

In contrast to Metro’s regular ten-officer squads, SWAT’s squads were divided further into two five-officer teams. This small-team concept was a result of the extensive research done by the anti-sniper leaders. Gates had concluded “the most effective way to stop a sniper or apprehend a barricaded individual was with a small team of highly disciplined officers using specialized weapons and tactics.”\(^\text{32}\) In the LAPD, these teams were the basic SWAT units, comprised of a team leader, a sniper, an observer, a scout, and a rear guard.\(^\text{33}\)

B. The New Police at Work

Since Daryl Gates christened his Special Weapons and Tactics unit in the late 1960s, police departments across the country have formed their own special units to handle high-risk situations.\(^\text{34}\) The rationale for maintaining these teams is generally the same:

[W]hile average police officers, in their traditional role as peace keepers, are trained and equipped to handle any number of aberrant human behaviors, they are simply not trained and equipped to handle incidents

\(^{28}\) This name was adopted after the LAPD deputy chief rejected the original moniker of “Special Weapons Attack Teams.” Id. at 114.

\(^{29}\) See id.

\(^{30}\) Id. at 116.

\(^{31}\) See id. at 114-15.

\(^{32}\) Id. at 114.

\(^{33}\) See id.

\(^{34}\) “There are now an estimated 3,000 SWAT units throughout the nation.” Janice Morse, SWAT Teams Sharpen Skills, CINCINNATI ENQUIRER, May 6, 1999, at B3; see also Twohey, supra note 8, at 41 (“Thirty years [after the Whitman incident], not only the number, but the size and scope of SWAT teams have grown significantly.”).
in which people with high-destruction weapons have taken hostages and are holed up in a fortified location. Nor are they trained and equipped to handle incidents in which the slightest slip could cost many lives.

There has been no shortage of need for these special police units.

1. Daryl Gates’ SWAT in action

The first major use of a SWAT team was the LAPD team’s deployment in 1969 against a local group of Black Panthers. The Black Panthers were a nationally known radical activist group that dabbled in 1960s-era leftist revolutionary politics. The Black Panthers were distinguished from other radical groups by their advocacy of the black community’s armed self-defense. Black Panthers were known to be armed and often dangerous.

SWAT’s mission was to serve an arrest warrant against two Black Panthers. High-risk warrant service is a typical SWAT mission. The Panthers in question were sought for using a shotgun and a forty-five caliber pistol to threaten a police officer called to the area by neighbors complaining of a disturbance. The arrest plan called for a SWAT team to conduct an initial pre-dawn building entry, followed by police officers, who would assist in securing the premises. SWAT was relying on the element of surprise to keep the Panthers at a disadvantage. SWAT’s job was to make the initial entry into the building in order to quickly take

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35 Snow, supra note 11, at 16. This concept of the SWAT mission has been cited by police departments across the U.S. See, e.g., Booth, supra note 8, at A1 (“Fresno Police Chief Ed Winchester says that a highly armed and more violent criminal class requires an extreme response.”); Lt. Dennis Fortunado, Fond du Lac, WI, Police Department SWAT Team Home Page, at http://www.fdlpolice.com/swat.htm (“The SWAT team is utilized when our department is required to resolve a ‘high risk incident’ in which there is a threat of a weapon . . . .”);

36 See Snow, supra note 11, at 249 (“[P]olice SWAT teams have met with hundreds of successes and saved countless lives.”); Megan Twohey, Five Communities, Five Stories, Nat’l J., Jan. 1, 2000, at 38 (describing several geographically-diverse police department SWAT units, including the number of times each SWAT team is deployed each year).

37 Gates cites this as the LAPD’s first mission. See Gates, supra note 11, at 119.

38 See id. at 117.

39 See id. at 118.

40 See Snow, supra note 11, at 10-11.

41 This plan did not work as expected. The SWAT team’s element of surprise was lost due to the Black Panthers’ lookouts forewarning them of the SWAT team’s approach. The result was a firefight between the Black Panthers and the SWAT team before the team could even enter the Panthers’ house. The Black Panthers eventually surrendered after hours of negotiation. The final casualty figures were six arrests, three wounded arrestees, and three wounded police officers. Gates does not indicate if any of the wounded officers were SWAT members. See Gates, supra note 11, at 119-23.
control of the situation, thereby providing the follow-on force with a safe environment in which to perform their arrest duties.

The next high-profile LAPD SWAT mission was the 1974 operation against the Symbionese Liberation Army (SLA). The SLA was a leftist revolutionary organization operating in the United States. Though having a political ideology similar to that of the Black Panthers, the SLA differed by focusing less on racial politics; instead, expressly advocating class warfare in the United States.\(^4\) The SLA committed a string of crimes, including a bank robbery, an assassination, and the kidnapping of Patty Hearst, heiress to the Hearst publishing fortune, as their “campaign” continued across the U.S.\(^4\)

Six months after the Patty Hearst kidnapping, several SLA members were discovered in the Los Angeles area. They gained the LAPD’s attention after they tried submachine-gunning a store clerk who attempted to prevent two SLA members from shoplifting socks. The discovery of the SLA in Los Angeles resulted in a combined effort of local and federal law enforcement to arrest the SLA members. Due to the SLA’s violence and fanaticism, this was considered to be the type of high-risk arrest mission that required SWAT’s expertise.\(^4\)

The SLA mission involved the services of both the LAPD and local FBI office SWAT teams.\(^4\) After FBI and LAPD teams surrounded the suspected SLA hideout, the scene commander in charge of the operation ordered the SLA members to surrender and come out of the house. The SLA refused to surrender or negotiate with the SWAT teams. At this point a tear gas grenade was fired into the house in an attempt to flush out the SLA members. Instead of surrendering, the SLA members started shooting at the teams. Both sides fired over nine thousand rounds of ammunition during this shootout. The small wood-frame house in which the six SLA members were hiding suddenly caught fire. Firefighters on the scene refused to approach the house due to the continuing shootout. The SLA members refused to stop firing or to surrender, eventually perishing in the fire that burned the house to the ground. The operation was a terrible disappointment; SWAT’s mission was to get everyone out alive.\(^4\)

\(^4\) See id. at 131.
\(^4\) See id. In a bizarre twist, Hearst joined the SLA after being kidnapped, helping them rob a San Francisco bank. See id.
\(^4\) See id.
\(^4\) Each FBI field office has its own SWAT teams for high-risk arrest missions. There are nine additional “enhanced SWAT” teams at FBI field offices in major metropolitan areas. See C.F. TOMAJCZYK, U.S. ELITE COUNTER-TERRORIST FORCES 68 (1997).
\(^4\) See GATES, supra note 11, at 115 (“If anybody gets killed or injured, the operation’s a failure . . . .”).
III. SWAT MISSION TYPES

A. The Basic Premise

Though their names may differ—Special Weapons and Tactics (SWAT), Special Response Team (SRT), Emergency Response Team (ERT), Special Emergency Response Teams (SERT), Emergency Services Unit (ESU)—police special units share the same goal: resolving the incident without innocent parties being seriously injured or killed.\(^\text{47}\)

In the years since the LAPD SWAT team was formed, the majority of police departments either have formed their own special response teams or have access to special response services.\(^\text{48}\) Eighty-nine percent of departments serving communities of 50,000 or more have SWAT units supporting them.\(^\text{49}\) Practically every federal law enforcement agency has a special response team, the first being the U.S. Marshal Service’s Special Operations Group, formed in 1971.\(^\text{50}\) Reflecting the diversity of jurisdictions, the types of situations that may call for a SWAT-type mission vary.

Due to the absence of national guidelines, local police departments decide for themselves when to utilize a SWAT team.\(^\text{51}\) “However, since police SWAT teams are trained and equipped to handle high-risk incidents, and therefore often carry high-destruction weaponry, their use is usually, but not always tightly controlled.”\(^\text{52}\) Federal agencies often have directives or internal restrictions on when they can deploy their tactical units.\(^\text{53}\) Despite this wide discretion in usage, most SWAT missions share similar profiles.\(^\text{54}\)

B. SWAT Mission Profiles

The majority of SWAT missions are high-risk search or arrest warrant services,
hostage situations, or barricaded armed-person incidents.\footnote{See id. at 157, 203, 219.} Other missions may include vehicle hijacking response, anti-sniper operations, or stakeouts for high-risk arrest scenarios, such as an expected bank robbery or gang fight.\footnote{See id. at 10.} All of these operations share an enhanced risk to public safety requiring a specialized response.

Municipal SWAT units, like their federal counterparts, do not participate in missions on their own initiative—they become involved only after a request for their assistance has been made.\footnote{See GATES, supra note 11, at 116; TOMAJCZYK, supra note 45, at 81 (describing the deployment requirements for the U.S. Marshal Service SOG).} This request may come either in the midst of a crisis or in the planning stages of an impending police action. In a typical crisis situation, the police officer in charge on the scene assesses the situation and decides whether SWAT services are needed.\footnote{See GATES, supra note 11, at 116 ("At that point we call SWAT."); SNOW, supra note 11, at 146 (discussing that the first police officer on the scene of a Portland, Oregon, bank robbery requested assistance from the Portland SERT team). Local SWAT teams themselves may determine that a hostage situation is beyond their capabilities and call on the FBI's Hostage Rescue Team. TOMAJCZYK, supra note 45, at 68.} If so, the SWAT team will arrive on the scene and, depending on the police department’s operating procedures, either take over the situation\footnote{See SNOW, supra note 11, at 146-47 (describing how the Portland, Oregon, SWAT team took control of a crisis bank robbery-turned-hostage situation from the on-scene patrol officers).} or develop a plan that must be approved by the officer in charge.\footnote{See GATES, supra note 11, at 116.} In other situations, such as warrant service or stakeouts, the request for SWAT assistance can come early in the planning stages of the operation.

In any case, SWAT commanders undertake extensive mission planning before entering a tactical situation.\footnote{See SNOW, supra note 11, at 55-56.} Such planning involves deciding how best to approach a given situation based on the information available. This information includes, for example, building layouts, structural hazards such as barricaded doors or booby traps, the number of hostages or suspects involved, what types of weapons are involved.\footnote{See TOMAJCZYK, supra note 45, at 114-15 (emphasizing the importance of planning).} This level of detail is required to ensure that the proposed operation will have a high probability of success. If the planning is flawed, tragedies such as hostage deaths or team casualties may be the consequences.\footnote{See, e.g., Steve Visser & Brad Schrade, The SWAT Team: Report: Raid Plan Flawed, ATLANTA J. & CONST., Feb. 9, 2000, at C1 (describing how poor planning and bad tactics contributed to the deaths of two SWAT team officers during a failed hostage rescue attempt).}
C. The Bulk of the Work: Missions and Tactics

1. High-Risk Warrant Service

High-risk warrant service makes up the largest number of SWAT operations.\textsuperscript{64} SWAT teams execute both search and arrest warrants whenever the police department is worried about a violent reaction from the warrant target. SWAT typically performs the initial entry into the premises in order to secure it safely before the officers tasked with the actual search or arrest enter. Entry into the place of service can be accomplished in varying ways.

The obvious and safest method of entry is to knock on the door and announce the police presence and purpose for being there. However, most high-risk warrant service is done in situations that unreasonably would place police officers in danger by announcing their presence.\textsuperscript{65} In other situations the person on the other side of the door refuses to let the officers in, as witnessed in the LAPD's Black Panthers and SLA incidents.\textsuperscript{66} In these cases a "dynamic entry" is conducted—so called due to the violence and swiftness it entails.

Dynamic entries can be accomplished either by breaching a door or window or by creating an entrance through a wall.\textsuperscript{67} The most common entry method is by door, but in some situations, such as when the doors may be barricaded or tactical considerations may warrant, entry may be made by other means, for example, through a wall or the roof.\textsuperscript{68} Entry can be accomplished by a combination of mechanical, explosive, or ammunition-based methods.

Doors can be breached mechanically using "hooligan tools," battering rams, or by chaining the door to a tow truck that then pulls the door off. Battering rams are most often a steel tube with handles, carried by several SWAT members and used to bash a door in.\textsuperscript{69} "Hooligan tools" are special pickax-like devices used to pry open doors. Reinforced doorways are removed by the "chaining-to-the-vehicle" method. Due to the danger resulting from flying debris, SWAT teams avoid

\textsuperscript{64} See SNOW, supra note 11, at 221; Autrey, supra note 8, at A1 ("[R]esearch determined that about 85 percent of SWAT teams are eventually used for no-knock drug raids on private residences.").

\textsuperscript{65} See Twohey, supra note 8 at 42 ("SWAT teams should step in to deliver warrants if 1) The suspect has a propensity toward violence; 2) the police know that a high number of weapons or particularly lethal weapons are present; or 3) the location is heavily fortified.").

\textsuperscript{66} See supra text accompanying notes 37-46.

\textsuperscript{67} See TOMAJCZYK, supra note 45, at 115.

\textsuperscript{68} See id.

\textsuperscript{69} Robert Snow points out that the LAPD once used mechanical battering rams but were forced to abandon their use after damage and injury caused by its extreme force prompted lawsuits against the city. SNOW, supra note 11, at 104.
explosive-assisted entries in favor of the mechanical methods detailed.\textsuperscript{70} However, some tactical situations may leave no other choice but to use explosives.

Explosives can be used either to blow a door completely down or cut a small “mouse hole” through the door or a wall.\textsuperscript{71} Explosives used by police SWAT teams include plastic explosives; shaped charges, so called because they are designed and shaped to focus the detonation energy in one direction; a caulk-like explosive that is applied with a caulk gun; “Foamex,” which is applied with a spray can; and “linear flex shaped charges,” originally used by the construction industry to cut steel beams and very efficient at breaching reinforced steel doors.\textsuperscript{72}

Ammunition-based methods use firearms to help gain entrance. This can be accomplished by using regular submachine gun, pistol, or shotgun ammunition to damage or destroy locks or windows by simply firing at them.\textsuperscript{73} A special shotgun round called a Shock-Lock round, containing plaster and metal dust, can be used to safely destroy a lock without injuring a person standing on the other side of the door.\textsuperscript{74} The plaster and dust disintegrate on impact, transferring the kinetic energy into the lock or hinge without penetrating beyond the door.\textsuperscript{75} Such a round will produce a hole in one side of a metal oil drum without penetrating the other side.\textsuperscript{76}

High risk warrant service, unlike many other SWAT missions, typically allows for a considerable amount of preparation time before an operation because SWAT commanders usually are involved in operational planning from the early stages.\textsuperscript{77} This large preparation time is possible due to the fact that these situations are usually known ahead of time, allowing for a high level of cooperation between the SWAT teams and the requesting police unit. Planning and preparation for a warrant service may include researching the background of the target, identifying the reasons for classifying the service a “high-risk” event, studying building blueprints, gathering intelligence on the targeted structure (e.g., movement or sleep patterns of occupants, identifying potential cover and concealment areas for the SWAT members approaching the structure), planning and rehearsing the building entry and security plan, and securing the assistance of support personnel such as medics or negotiators.\textsuperscript{78}

\textsuperscript{70} See id. at 103.
\textsuperscript{71} See TOMAJCZYK, supra note 45, at 116.
\textsuperscript{72} See SNOW, supra note 11, at 103-05.
\textsuperscript{73} See id. at 105.
\textsuperscript{74} See id.
\textsuperscript{75} See id.
\textsuperscript{76} See id.
\textsuperscript{77} See GATES, supra note 11, at 119 (noting SWAT had a week to plan the Black Panthers arrest); SNOW, supra note 11, at 223 (explaining how Indianapolis narcotics officers asked for SWAT assistance as soon as they obtained a search warrant for an illegal drug manufacturing site).
\textsuperscript{78} See TOMAJCZYK, supra note 45, at 114-15.
2. Hostage Situations/Barricaded Individuals

Confronting hostage situations and armed individuals are usually the images conjured up when people are asked what SWAT teams do. These situations, however, make up a comparatively small number of missions when compared to the warrant-service operations.\(^79\) SWAT teams are used in these situations to provide specialized skills designed to approach high-stress, high-risk situations with a coordinated plan that ensures the smallest possible risk to life.\(^80\)

SWAT teams constantly are improving their techniques and strategies in order to arrive at the best plan for a given situation. Robert L. Snow states that this progressive improvement has resulted in the following five steps for handling a hostage or barricaded armed individual situation:

1. Contain and isolate the suspect; then attempt to negotiate a surrender.
2. If unsuccessful, demand that the suspect surrender.
3. If unsuccessful, use chemical weapons [i.e., tear gas] to force the suspect to surrender.
4. If unsuccessful, use snipers to neutralize the suspect.
5. If unsuccessful, order a SWAT assault [using applicable warrant service techniques].\(^81\)

Snow states that the SWAT commander always must start at step one and work his/her way down to step four or five: “The guiding principle is that before using Step 4 or 5, all possibilities of resolving the incident peacefully must first be investigated and attempted.”\(^82\) The FBI Hostage Rescue Team, though a federal unit, is under a similar last-resort policy.\(^83\) This policy emphasis on the use of force as a last resort conflicts with the perception by some that SWAT teams approach situations with their guns blazing, itching to show off their firepower and training.\(^84\) The use of force as a last resort is heavily emphasized in police training.\(^85\) SWAT

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\(^79\) See Booth, supra note 8, at A1 (citing research showing that only seventeen percent of SWAT missions involved these situations, while seventy-five percent involved high-risk warrant service).

\(^80\) See Twohey, supra note 8 (“[A] SWAT team’s overwhelming show of force often ensures that no shots are fired.”).

\(^81\) See SNOW, supra note 11, at 76.

\(^82\) See id. at 76.

\(^83\) See TOMAJCZYK, supra note 45, at 68-69 (quoting a senior FBI official stating that “[t]he HRT was never intended to be used as a first strike capability.”).

\(^84\) See Autrey, supra note 8 (“[C]ritics worry that even the best of officers might use more force than necessary in the desire to apply the skills and weapons they have acquired.”).

\(^85\) See Beth Dove, Keeping Up With Modern Crooks, SALT LAKE TRIB., June 28, 1999, at B2 (“On an ascending scale of deadliness, the [force] levels begin with the mere presence
training, which builds off of these basic police principles, has even been described as shifting emphasis from firepower to negotiation and the use of less-than-lethal force.\(^6\)

IV. SWAT TRAINING

A. Officer Selection

The selection process of most SWAT units is extremely demanding. The situations SWAT officers may find themselves facing require firearms proficiency, extreme discipline, physical fitness, emotional stability, the ability to work with others as a team, and above average intelligence/aptitude for critical thinking to resolve them successfully.\(^7\) Candidates for such a position are subject to rigid scrutiny to ensure they have these qualities.

SWAT members are typically volunteers from the regular force.\(^8\) For example, the LAPD requires its SWAT candidates to have a minimum of four years regular police duty with the LAPD.\(^9\) Admission to a department’s SWAT team comes after a lengthy selection process.\(^9\) Robert L. Snow has conducted a study of over thirty U.S. municipal SWAT team selection processes.\(^9\) Snow reports that SWAT selection typically entails a series of written, oral, and physical tests.\(^9\) Many departments also include a background investigation and psychological screening of candidates.\(^9\) Some departments also require firearms proficiency tests.\(^9\)

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\(^6\) See Morse, supra note 34 (“SWAT training is focusing increasingly on communication skills and using less-than-lethal force.”) (quoting SWAT consultant Al Preciado, who was interviewed during training involving Cincinnati, Ohio, area departments).

\(^7\) See SNOW, supra note 11, at 26, 28.

\(^8\) See GATES, supra note 11, at 114 (describing the recruitment and selection of the first SWAT candidates); SNOW, supra note 11, at 28; TOMAJCZYK, supra note 45, at 54 (describing the FBI’s HRT application/selection process), 74 (describing the U.S. Marshall Service’s Special Operation Group’s selection process).

\(^9\) See Twohey, supra note 8 (“[LAPD SWAT applicants] must have at least four years with the department before applying.”).

\(^9\) See id. (describing the training requirements for several different police departments’ SWAT teams).

\(^9\) See id.

\(^9\) See id.

\(^9\) See id.
B. Close Quarters Battle Skills

SWAT teams, by their mission, are confronted with situations necessitating the potential tactical assault of a crisis site. These sites are typically building structures. This fact does not change regardless of the mission: warrant service and confronting barricaded individuals both require knowledge of site assault techniques. The armed assault of such a closely-confined area, for the purpose of protecting life with the least amount of force necessary, requires the proficient use of highly specialized skills known as Close Quarters Battle (CQB) skills.

CQB training consists of entry/breaching tactics, tactical movement once inside buildings, room assault tactics, and techniques in confronting hostage takers. "Officers must know how to enter a room as a team, and how to enter a room without running into each other, without being caught in crossfire, and without being shot by the perpetrator." This training, which may mean the difference between the life and death of hostages or the SWAT members, must be as realistic and intense as possible to ensure proficiency among the SWAT members. SWAT teams receive CQB training from national police organizations, firearms companies, and from private consulting groups. In the search for the most realistic, beneficial, and intensive CQB training possible, many SWAT units have coordinated training with U.S. military counter-terrorist forces.

C. SWAT Training with the Department of Defense

The history of joint military/SWAT training can be traced to Daryl Gates' affiliation with local Marine units stationed near Los Angeles. Gates sought the Marines' expertise in dealing with snipers, guerrilla warfare, and small unit

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95 See supra text accompanying notes 55-86 (discussing SWAT mission profiles, such as high-risk warrant service and hostage situations, and accompanying tactics).
96 For a good description of these types of tactics, see TOMAJCZYK, supra note 45, at 114-18.
97 See SNOW, supra note 11, at 134; TOMAJCZYK, supra note 45, at 114-18.
98 See SNOW, supra note 11, at 136.
99 See id. at 131 ("It has been found in SWAT incidents that the majority of injuries and deaths to both police officers and hostages occur during assaults and hostage-rescue attempts.").
100 See id.
101 See, e.g., Twohey, supra note 8.
102 See David B. Kopel & Paul M. Blackman, Can Soldiers Be Peace Officers? The Waco Disaster and the Militarization of American Law Enforcement, 30 AKRON L. REV. 619, 651 (1997) ("The Navy SEALs and Army Rangers both conduct extensive training of paramilitary units such as local police SWAT teams.").
103 See GATES, supra note 11, at 109.
tactics. Modern SWAT teams have a similar rationale for continuing this training.

The U.S. military’s counter-terrorist forces tasked to handle overseas hostage rescue missions are Delta Force and the Navy SEALs. These units receive and develop specialized training in hostage rescue tactics involving CQB. Local SWAT teams looking to improve their own training programs gladly cross-train with these units to acquire skills relevant to their mission.

This cross-training is a controversial aspect of SWAT policing due to the constitutional implications arising from the use of military tactics against civilians. The question that should be asked is what type of training is being used, not whether any military tactics are being used. CQB skills honed by the military would help to further a SWAT team’s mission of saving lives by enhancing their ability to safely conduct site assaults. Shooting discipline, drills compelling instant recognition of friends or foes, small unit movement, and breaching techniques all play a part in ensuring a SWAT team reacts cogently and intelligently to a situation.

The reason civilian SWAT teams require this training and do not

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104 See id. at 109, 110, 115 ("Regularly, we sent squads to train at Camp Pendleton, trading expertise with the Marines."). The FBI’s Hostage Rescue Team is the primary federal domestic hostage rescue force. The military units are prohibited by the Posse Comitatus Act from operating within the U.S. without express presidential authorization.

105 According to one study, forty-three percent of the SWAT teams polled received training from active-duty military special-operations units. See Autrey, supra note 8 at A1.

106 See SUSAN L. MARQUIS, UNCONVENTIONAL WARFARE, 63-65 (describing the formation of Delta Force from existing U.S. Army Special Forces (AKA “Green Beret”) personnel); TOMAJCZYK, supra note 45, at 43 (explaining that though all SEAL teams are trained in counter-terrorist tactics, one team known as Seal Team Six or “Development Group/DevGroup”, has counter-terrorism as its primary mission.).

107 See TOMAJCZYK, supra note 45, at 43.

108 See Autrey, supra note 8 at A1 ("Since SWAT teams were formed, special-forces units such as the Army’s Delta Force and the Navy SEALs have been involved in training police in the use of military techniques and equipment.").

Weber concedes that the training received by civilian SWAT teams is tempered by the restrictions of civilian police work. She quotes one recipient of this training as stating: “We just have to use our judgment and exclude information like: ‘at this point we bring in the mortars and blow the place up.’” Weber, supra note 9, at 9 (quoting Peter Kraska and Victor Kappeler, Militarizing American Police: The Rise and Normalization of Paramilitary Units, 44 SOC. PROBLEMS 5-6 (1997)). According to the Arlington, Texas, SWAT commander, “[the military] teach[es] us tactics. They don’t teach us how to do our business.” Autrey, supra note 8 at A1. The military also restricts such training to those skills it deems relevant to the civilian law enforcement mission. See id. (“Military spokesmen said that training offered to police is limited to what the armed services deem acceptable.”).

109 The Fourth Amendment of the Constitution prohibits the use of "unreasonable" force against U.S. residents. See U.S. CONST. amend. IV.

110 See TOMAJCZYK, supra note 45, at 114-17.
simply allow the military to perform these missions is a legal one: the skills that the military has developed cannot be used by the military to resolve a domestic law enforcement matter because the military is ordinarily precluded from assisting in domestic law enforcement activities by the Posse Comitatus Act.\textsuperscript{111}

1. The Posse Comitatus Act

The Posse Comitatus Act was passed shortly after the Civil War as a response to Southern anger over Union troops' occupation of the South.\textsuperscript{112} The Act bars any federal military unit from participating in domestic law enforcement activities without express Presidential decree.\textsuperscript{113} Though the Act is still in effect, its weight has been reduced by the 1981 Military Cooperation with Law Enforcement Act, 10 U.S.C. §§ 371-74. Weber summarizes the effect of this law:

That law amended the Posse Comitatus Act insofar as it authorized the military to “assist” civilian police in the enforcement of drug laws. The act encouraged the military to (a) make available equipment, military bases, and research facilities to federal, state, and local police; (b) train and advise civilian police on the use of the equipment; and (c) assist law enforcement personnel in keeping drugs from entering the country. \textit{The act also authorized the military to share information acquired during military operations with civilian law enforcement agencies.}\textsuperscript{114}

The basis for this controversial law authorizing such training generally is regarded as a policy decision stemming from the government’s response to the drug trade.\textsuperscript{115} This loophole allows the Department of Defense to share practically any information and equipment with civilian law enforcement agencies as long as the rubric of “fighting the drug war” is applicable.\textsuperscript{116} Such a rationale could extend to virtually any police operation because the drug trade has become pervasive.\textsuperscript{117}

\textsuperscript{113} \textit{See id.} at 79-80.
\textsuperscript{114} Weber, \textit{supra} note 9, at 4 (emphasis added).
\textsuperscript{115} \textit{See} Kopel & Blackman, \textit{supra} note 102, at 652.
\textsuperscript{116} \textit{See id.} at 653-54.
\textsuperscript{117} \textit{See} Dove, \textit{supra} note 85, at B2 (“[O]fficers themselves see the terrain [of modern-day crime] as a volatile mix of violent gangs, drugs that feed aggression, and guns and more guns in the wrong hands.”).
2. Types of training given by the military

Many different military units are involved in training civilian SWAT units, from local bases to Special Forces units, to the U.S. Army Military Police School. Joint Task Force Six (JTF-6), based in Fort Bliss, Texas, and organized in 1991, is the Pentagon’s single largest organization dedicated to civilian law enforcement. It coordinates the military’s most systematic training for domestic law enforcement agencies. JTF-6 has a permanent staff of 170, but can request additional manpower as training needs arise. JTF-6 “tapped 3,000 military persons for the war on drugs and trained 2,700 law enforcement officers in 1999.”

Special operations personnel attached to JTF-6 teach civilian law enforcement such skills as camouflage techniques, individual and team tactical movement, booby trap removal, combat shooting techniques, urban warfare tactics, first aid, and mission planning. Other JTF-6 military trainers teach drug raid techniques, nighttime shooting skills, and the use of night-vision devices. The Army M.P. School teaches field tactical police operations, courses in the phenomena of narco-terrorism, and special-reaction-team training.

The sharing of military information concerning “tactical operations” ostensibly includes information involving CQB training. Once these CQB tactics are learned, they can be applied to any CQB situation, not just incidents involving drug arrests. Unfortunately, the need for SWAT’s CQB skills is not limited to incidents involving the drug trade.

118 See Autrey, supra note 8 at A1; Twohey, supra note 8.
119 Kopel & Blackman, supra note 7, at 341.
120 See Scarborough, supra note 8; Twohey, supra note 8.
121 Scarborough, supra note 8.
122 See id.
123 See id.
124 See Twohey, supra note 8.
125 The author bases this assertion on his own military experience, which included training in basic CQB skills under the rubric of “tactical operations.” Knowledge gained through CQB training may also include information derived from studying the reactions of opponents to different tactics. Robert L. Snow includes such information in his book, SWAT Teams: “Research by the U.S. Army’s Operation Counter-Terrorist Unit has found that, when surprised, a person generally needs five seconds to regain the ability to react.” Snow, supra note 11, at 186.
V. THE CONTINUING NEED FOR SWAT UNITS

A. Introduction

Law enforcement agencies in America are faced with a cavalcade of high-risk threats to public safety requiring a SWAT team's expertise. In addition to the drug trade targeted by the Military Cooperation with Law Enforcement Act,\(^{126}\) police are faced with a host of public threats, including religious zealots (of many faiths), racist ideologues, the so-called "militia movement," foreign and domestic terrorist groups, and gangs. These threats are not confined to urban areas; rural police face many of the same social deviants the larger cities face, as well as other concerns unique to rural policing.

B. The Drug Trade

The violence and social costs resulting from the presence of illegal drugs in America is a subject that surpasses the scope of this Note. The topic is relevant in so far as it helps to illustrate the motivation behind government's allowing cooperative training between SWAT teams and special operations forces.\(^{127}\) Drug dealers in American society have been portrayed (rightfully) as a serious threat to public safety, necessitating a heavy-handed law enforcement response.\(^ {128}\) Police Captain Robert L. Snow describes the effect drugs have had on law enforcement:

[C]riminals now know that, if they are arrested and convicted of drug dealing, they face long prison sentences . . . . These dealers [also] have the money to purchase high-tech assault weapons, often better weapons than the average police officer has. And because these criminals know they face long sentences if arrested and convicted of drug dealing, they have begun resisting arrest with increased vigor and deadliness . . . . Therefore, the danger involved in serving arrest and search warrants, particularly against drug dealers and drug locations, has become so great in many communities that the police departments now use their SWAT teams almost exclusively to serve these high-risk warrants, and particularly to serve them at fortified locations . . . .\(^ {129}\)

\(^{126}\) See Kopel & Blackman, supra note 102, at 652 ("The major cause of the militarization of American law enforcement has been the 'drug war.'" (continuing on to describe the purpose of the Military Cooperation with Law Enforcement Act)).

\(^{127}\) See, e.g., id. (criticizing the "Drug War" approach to law enforcement).

\(^{128}\) See Snow, supra note 11, at 223 ("[T]he use of much of a police SWAT team's time serving high-risk warrants has come about because drug dealers have so increased their affinity for violence.").

\(^{129}\) See id. at 221-22.
With no end in sight to stopping the flow of illegal drugs into America's streets, SWAT units' deployments to deal with situations spawned by the drug trade certainly will continue.

C. **Gangs and Organized Crime**

According to the FBI, "[o]ne of the most disturbing crime trends facing our country is the increase of violent street gangs . . . ."¹³¹ U.S. Department of Justice statistics indicate that "law enforcement agencies in the United States are confronted with the presence of more than 4,800 gangs and 250,000 gang members."¹³² Unlike the Sharks and the Jets in *West Side Story*, these modern gangs are "[h]eavily armed with sophisticated weapons [and] are involved in drug trafficking, murder, witness intimidation, robbery, extortion, and turf battles."¹³³ Daryl Gates reports that gang members in Los Angeles murdered over seven hundred people in 1991.¹³⁴ Gates also gives an example of the sort of mentality found in the ranks of gang membership:

Charlotte Austin stood in court Friday and looked right at the five gang members who fired 11 bullets into the body of her 13-year-old daughter.

"You took my child and shot her like she was an animal!" she shouted . . . . "Your souls are going to hell." But as she spoke, two of the men laughed. Later, as convicted killer Deautri Denard stood to leave, he announced with a smirk, "Gangsterism continues," and flashed [his gang's trademark hand signal].¹³⁴

Gang-related, and to a lesser extent organized crime, violence is present in American communities of every size, from large cities to small ones, rural areas, and even suburbs.¹³⁵ Gangs are even a problem in the state of Utah, where an

¹³² Id. at iii.
¹³³ See GATES, supra note 11, at 298.
¹³⁴ Id. at 289.
¹³⁵ See DOJ/GANGS, supra note 131, at iii ("Gangs now operate in cities of all sizes, as well as suburban communities throughout the United States; gang violence is no longer limited to major cities."); National Institute for Justice Research Report, CRIME AND POLICING IN RURAL AND SMALL-TOWN AMERICA 37-40 (1995) [hereinafter NIJ] (discussing the factors behind gang activity in rural areas). The NIJ report mentions the interesting phenomena of the gang "franchise" or "branch office" established in rural communities by juvenile urban transplants. Id. at 39. As if gangs weren't enough: "there is good reason to
estimated 200 to 300 gangs are estimated to have 4000 to 5000 members in the state. Many gangs are organized along ethnic groups, bringing a new dimension to the phenomena:

As the ethnic makeup of the country has changed, so has the face of organized crime. A new generation of immigrants has been following patterns of criminal activity remarkably similar to those first established by Irish, Italian, and Jewish immigrants. . . . [I]llegal narcotics . . . has made it possible for organized crime groups to thrive in a number of ways, including the constant armed robberies, home invasions, violent extortions, and other assorted crimes . . . .

As the U.S. becomes a more heterogeneous society due to immigration and demographic trends, the threat posed by these ethnic gangs will increase. Regular police strategies may help combat this problem, but these will have to be backed up by SWAT’s ability to respond to high-risk threats to the public.

D. Religious Zealots

The Establishment Clause of the U.S. Constitution ensures U.S. residents’
freedom to follow their individual religious beliefs. This legal protection of religion allows many smaller, more obscure sects and cults to flourish in America. While the majority of these groups are benign, several are characterized by racist ideologies, conspiracy theories, or apocalyptic beliefs. The FBI went so far as to warn of the violent potential posed by these latter religious groups in its 1999 Project Megiddo report.

The most recent manifestation of American religious zealotry can be seen in the violent wing of the anti-reproductive-choice movement, especially in the central anti-abortion organization known as "The Army of God," which has declared armed holy war against abortion providers. Army of God members have been either convicted or indicted for a string of shootings, bombings, and robberies in furtherance of their cause, and two members have been placed on the FBI’s Ten Most Wanted list. One other Army of God member escaped from an Illinois state prison in February, 2001, and several suspected Army of God members were arrested that same month in Oregon after a SWAT raid by local sheriffs’ departments yielded bomb-making materials similar to those used in the 1995 Oklahoma City bombing, plastic explosives, machine guns, and undescribed “timing devices,” ostensibly for explosives.

The Ninth Circuit Court of Appeals has recently ruled that the anti-reproductive-choice website known as “The Nuremburg Files,” which lists the names and addresses of reproductive health care workers and their families while calling them “baby butchers,” is protected by the First Amendment from being labeled a “threat” against reproductive health care workers and their affiliates.

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141 U.S. CONST. amend. I.
143 See id. at 8-10, 26-29.
144 Dennis Roddy, Escapee Ready to Do God’s Will at Gunpoint, PITTSBURGH POST-GAZETTE, Feb. 24, 2001, at D-1.
147 See Roddy, supra note 144, at D-1 ("Waagner said that God had spoken to him, called on him to shoot abortionists[,] and suspected [that God] was mightily disappointed that Waagner [hadn’t done so yet].").
150 Id.
151 See Planned Parenthood of the Columbia/Williamette, Inc. v. Am. Coalition of Life Activists, 244 F.3d 1007, 2001 WL 293260 (9th Cir. Mar. 28, 2001); Rene Sanchez,
The Nuremburg Files web site lists several law enforcement officers by name, referring to them as "LAW ENFORCEMENT: their [abortion providers'] bloodhounds." Although the Nuremburg Files web site claims that its lists are being compiled as evidence for an anticipated "crimes against humanity" trial of reproductive health care workers and anyone assisting them, the main "feature" of the website is that names of listed people receive a strike-through whenever they are killed. Many reproductive health care workers are expecting a resurgence of violence now that the Ninth Circuit has allowed the Nuremburg Files to continue publishing its lists. The fact that heavily armed political extremists are actively identifying and targeting law enforcement officers and members of the public as enemies in their "holy war" is one example of a continuing public safety need for SWAT training and tactics.

E. Racist Ideologues

Xenophobia and prejudice have existed in the U.S. in one form or another since its colonial days. Present day manifestations of this sociological event include gangs, political groups, and religious organizations bent on promoting the superiority of their ethnic group above others. Racist messages are disseminated by these groups to a wide audience through emerging Internet technology and "hate rock." Violence by these factions unfortunately is not uncommon, and the

\textit{Antiabortion Web Site Handed A Win; Court Says Threatening Content on Doctors is Protected Free Speech, WASH. POST, Mar. 29, 2001, at A01.}

\textsuperscript{152} See Nuremburg Files, \textit{Alleged Abortionists & Their Accomplices}, at http://www.christiangallery.com/atrocity/aborts.html.

\textsuperscript{153} See Nuremburg Files, The Nuremburg Files, \textit{supra} note 149.

\textsuperscript{154} See Nuremburg Files, \textit{Alleged Abortionists & Their Accomplices, supra} note 152 (including the names of one Alabama law enforcement officer and one retired U.S. Air Force Lieutenant Colonel killed in abortion clinic violence).

\textsuperscript{155} See Weinstein, \textit{supra} note 145, at A1 ("This decision is clearly a green light to the most violent and radical anti-abortion fanatics in the country that they can get away with it and not worry.") (quoting a Boulder, Colorado doctor). The National Abortion Federation website reports that there were 2,540 violent incidents against reproductive health care facilities and their workers since 1977, including murders, attempted murders, assaults, acid attacks, and bombings. \textit{See National Abortion Federation, Incidents of Violence and Disruption Against Abortion Providers, at} http://www.prochoice.org/default7.htm. Seven murders and seventeen attempted murders related to anti-abortion activities have taken place within the last ten years. \textit{See id.}

\textsuperscript{156} See CATHERINE MCNICOL STOCK, RURAL RADICALS 45 (1996) ("[18th Century Trans-Appalachian] [s]ettlers ardently believed ... in their racial superiority to native peoples.").


\textsuperscript{158} \textit{The Internet: Downloading Hate, ECONOMIST, Nov. 13, 1999, available at 1999 WL 29811474 (describing Internet hate sites); Mariyam Joyce-Hasham, Web Offense, WORLD
recent linkage of racism and religious doctrine by groups such as the World Church of the Creator and the Nation of Yahweh makes them extremely dangerous. Membership in these types of organizations is widespread and actually growing, affecting communities across the country. Many of these extremists are affiliated with the militia/patriot movement, presenting an even more serious challenge to law enforcement authorities.

F. The Militia/Patriot Movement

An emerging social phenomena in America has been the rise of private...
paramilitary groups collectively known as "militias." One source asserts that the movement may number between five and twelve million members. Militia groups have been formed in urban as well as rural areas. Militias are the latest incarnation of American vigilante groups, which were typically organized to right a perceived wrong or to prepare for an anticipated wrong. Some of the reasons given for forming or joining a militia include resistance to United Nations world domination conspiracies, a perceived lack of federal and local government accountability, opposition to environmentalism, opposition to taxation, vigilantism and crime control, and racist and religious ideologies. One unifying theme among all militias is the belief that present American society is in need of social or political reform in order to return to a "correct" operational existence, hence the concurrent title of "patriot" movement. The existence of militias has even concerned the U.S. Congress, which held hearings in 1994 to determine the extent and validity of the threat to civil order posed by militia groups. Though the majority of militias are considered "defensive" or "reactionary" in nature, the mentality evidenced by

\[163 \text{ See id. at 199. Dees also states: "Between 1994 and 1996 there were at least 441 militia units across the country. Every state had at least one within its borders. . . . In addition[,] . . . 368 allied Patriot groups promoted the formation of militias, provided information and materials to them, or espoused ideas . . . that are common in militia circles." Id. Dees adds that 137 of these groups had ties to the racist right. Id. at 200.}


\[165 \text{ See Southern Poverty Law Center Homepage at http://www.splcenter.org; Edward Levine, NYC Has Hatemongers, Too, N.Y. DAILY NEWS, Aug. 22, 1999, at 28.}

\[166 \text{ See McNicol Stock, supra note 156, at 143-76 (describing the modern militia/patriot movement and comparing it to early rural American vigilantism).}

\[167 \text{ See DEES, supra note 6, at 86-90; Allen D. Sapp, Richard D. Holden & Michael E. Wiggins, Value & Belief Systems of Right-Wing Extremists: Rationale and Motivation for Bias-Motivated Crimes, in BIAS CRIME: AMERICAN LAW ENFORCEMENT & LEGAL RESPONSES 105-32 (Robert J. Kelly, ed. 1993).}

\[168 \text{ See ABANES, supra note 164, at 2. Abanes states:}

\[\text{The glue binding [the militia/patriot movement] together is a noxious compound of four ingredients: (1) an obsessive suspicion of the government; (2) belief in anti-government conspiracy theories; (3) a deep-seated hatred of government officials; and (4) a feeling that the United States Constitution, for all intents and purposes, has been discarded by Washington [D.C.] bureaucrats.}

\[Id. (emphasis added).

\[169 \text{ See DEES, supra note 6, at 86-90. These hearings were probably motivated more because of the Oklahoma City bombing rather than the mere presence of militias in the U.S.}

\[170 \text{ See PROJECT MEGGIDDO, supra note 142, at 22. ("Law enforcement should be aware that the majority of militias are reactive as opposed to proactive."). Project Meggido was an official FBI strategic assessment of the potential for violence in the U.S. associated with the new millennium.}
the following statements, combined with the presence of massive amounts of weaponry, indicates a continuing threat to law enforcement:171 "We will destroy targets such as telephone relay centers, bridges, fuel storage tanks, communications towers, radio stations, airports, et cetera . . . Human targets will be engaged when it is beneficial to the cause to eliminate particular individuals who oppose us like troops, police, political figures, snitches, et cetera."172 "They called cops ‘punks in badges’ and said the next time one of them was stopped, they’d shoot the cop."173 These statements reflect just one of the threats faced by local law enforcement departments. The continuing threat of aspiring militia saboteurs,174 and of extremists sufficiently armed and emboldened enough to shoot down a police helicopter,175 indicates that the danger posed by these elements has not abated.

G. Foreign and Domestic Terrorists

Terrorist acts inside the continental U.S. are nothing new. During the period from 1970-1998, there were 3,150 terrorist incidents in the U.S. attributable to

171 At least one militia group was arrested before it could carry out a millennial mischief plan to blow up a California propane gas plant, underscoring the statement that not all militias are “reactionary.” See Lance Williams, FBI Says Arrests Broke Up Y2K Plot, S. F. EXAMINER, Dec. 26, 1999, at A1.

New York City Police also recently raided the Queens apartment of a previously convicted bomb-maker who was on probation, yielding an assault rifle with 300 rounds of ammunition, a bomb making manual, and white supremacist materials. See Sean Gardiner & Michael Arena, Cops: Arsenal in Man’s Home, NEWSDAY, Mar. 31, 2000, at A6.

172 ABANES, supra note 164, at 26 (emphasis added). The statement was found on a computer disk during a federal investigation of the Virginia based “Blue Ridge Hunt Club,” charged with illegally stockpiling machine guns and planning to raid a National Guard armory. See id. at 26.

173 Statement by Gary Krause, Fowlerville, Michigan, police chief, after officers stopped what had appeared to be a drunk driver. DEES, supra note 6, at 131. The car contained three men with camouflage uniforms and face paint who identified themselves as Michigan Militia members, along with three 9 mm pistols, a .357 magnum revolver, an AK-47, an M-1 rifle, an M-14 rifle, 700 rounds of ammunition, three gas masks, night-vision equipment, and notes indicating they were spying on local police departments. Id.

174 See, e.g., supra note 171; Ron Martz, Militia Member Faces Gun Charges, ATLANTA J. & CONST., Dec. 11, 1999, at 4G (describing a Florida militia groups’ millennial mischief plan to destroy electrical transmission lines).

175 See Michael Vigh, Idaho Pair Arrested After Tense Standoff and Gunfights in Death Valley, SALT LAKE TRIB., Mar. 25, 2000, at D5 (reporting the downing of a California Highway Patrol helicopter by fugitive extremists using unidentified high-powered rifles).
political or extremist groups based both inside the U.S. and overseas. While several of these incidents can be attributed to any of the aforementioned public safety threats, many of these incidents were perpetrated by foreign nationals attempting to further foreign political goals. Some examples of these types of incidents include Taiwanese radical attacks on People's Republic of China officials, the 1980 and 1982 assassinations of two Turkish Consuls General, over sixteen terrorist acts by Sikh separatists including murders, kidnapping, and bombings, a 1994 machine gun attack on Jewish students in Manhattan by a Lebanese cabdriver, and the 1997 New York City Police Department raid of a Palestinian bomb-making factory in Brooklyn.

According to Louis Mizell, Jr., a former Special Agent and Intelligence Officer with the U.S. State Department Diplomatic Security Section, many terrorist incidents that occur in the U.S. are attributed to ordinary crime patterns, thus hiding the true amount of terrorist activity in the country. American law enforcement is thus caught smack in the middle of this situation because they are the primary method of crime control in this country. For example, at least eighty-eight domestic and international terrorists were encountered by police making routine traffic stops between 1977 and 1997, resulting in sixteen killed or wounded officers. The potential for violent encounters with such individuals makes SWAT training and deployment essential for public safety.

VI. LEGAL RESTRRAINTS ON POLICE CONDUCT

A. An Introduction

SWAT teams in service throughout the country are subject to the existing constitutional constraints imposed on law enforcement. These restraints are

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176 See LOUIS MIZELL, JR., TARGET USA: THE INSIDE STORY OF THE NEW TERRORIST WAR 179 (1998). Mizell also includes short descriptions of selected incidents, including assassinations, bombings, kidnappings, sabotage, attacks on police, hostage incidents, bank/armored car robberies, and armory raids. Id. at 179-200.

177 See id.

178 See id. at 179.

179 See id. at 183.

180 See id. at 188.

181 See id. at 194.

182 See id. at 198.

183 See id. at 12. Mizell states that at least one street gang has worked as paid mercenaries for a foreign government. See id. at 25 (describing the connection between Chicago's Blackstone Rangers a.k.a. El Rukn and the Libyan government of Moammar Qaddafi). This link inevitably leads to interesting conclusions about the sources of arms and money used by American gangs.

184 See id. at 145.
typically those articulated by the Fourth, Fifth, and Fourteenth Amendments to the U.S. Constitution. The Fourth Amendment protects against unreasonable search and/or seizure of one's "persons, houses, papers, and effects;" the Fifth Amendment prohibits compelling the accused to make incriminating statements against himself; and the Fourteenth Amendment makes these restraints applicable to the state governments. Of these, the Fourth Amendment is the constraint most applicable to the SWAT mission.

The Fourth Amendment protections conferred on U.S. residents prohibit "unreasonable" police conduct during the course of searches and seizures of people and property. The Fourth Amendment's warrant clause adds additional protection from government intrusion by requiring a showing of "probable cause" to justify a belief that either the warrant target has committed a crime or that evidence of a crime will be found in a certain place, before judicial approval of a search or arrest warrant is given.

The Supreme Court's interpretation of the Fourth Amendment helps illustrate the boundaries of these protections.

B. Searches

The Supreme Court has ruled that police may not search people or property unless certain conditions have been met. These conditions can be divided into those requiring "reasonable suspicion" and those requiring "probable cause."" The Supreme Court has stated that Fourth Amendment "reasonableness standard," and not substantive due process analysis, should be utilized when examining police use of force during "the course of an arrest, investigatory stop, or other 'seizure.'" Graham v. Connor, 490 U.S. 386, 395 (1989).

1. Searches based on reasonable suspicion

Reasonable suspicion is a "particularized and objective basis" for suspecting a person of criminal activity. It is a "less demanding standard than probable cause": "reasonable suspicion can be established with information that is different in quality or content than that required to establish probable cause, . . . (and) reasonable suspicion can arise from information that is less reliable than that required to show

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185 U.S. CONST. amend. IV.
186 See U.S. CONST. amend. IV, V, & XIV.
187 The Supreme Court has stated that Fourth Amendment "reasonableness standard," and not substantive due process analysis, should be utilized when examining police use of force during "the course of an arrest, investigatory stop, or other 'seizure.'" Graham v. Connor, 490 U.S. 386, 395 (1989).
188 See U.S. CONST. amend. IV.
189 See Kathryn R. Urbonya, Selected Fourth Amendment Issues in Section 1983 Litigation, 596 PLI/Lit 65, 75-76 (1998) [hereinafter Urbonya (Issues)].
190 See id. at 75.
191 See U.S. CONST. amend. IV.
192 See Urbonya (Issues), supra note 189, at 74-78.
probable cause."\textsuperscript{193}

The Supreme Court first articulated the reasonable suspicion standard in the case of \textit{Terry v. Ohio}.\textsuperscript{194} \textit{Terry} held that police may stop a person if they have an articulable basis for suspecting that the person has or is about to commit a crime.\textsuperscript{195} Reasonable suspicion is not enough basis for an arrest, but it is enough for the police to stop and briefly detain the person.\textsuperscript{196} After stopping the person, the police may make a limited frisk of the person for weapons if they have a further reasonable suspicion that the person is armed and presently dangerous.\textsuperscript{197} The reasonable suspicion for the stop is independent from the reasonable suspicion for the frisk.\textsuperscript{198} Probable cause is a higher standard of suspicion that must be shown before an arrest or more invasive search is carried out.

2. Searches based on probable cause

The Supreme Court has defined a "search" as occurring when "an act violate(s) the privacy upon which (one) justifiably relie(s)."\textsuperscript{199} This privacy finding is based on a bifurcated analysis: "[F]irst, that a person have [sic] exhibited an actual (subjective) expectation of privacy and, second, that the expectation be one that society is prepared to recognize as reasonable."\textsuperscript{200} The Supreme Court has assured the respect of this expectation of privacy by interpreting the Fourth Amendment as requiring a warrant to be issued before most searches may take place.\textsuperscript{201}

\textsuperscript{193} \textit{Id.} at 77 (citing \textit{Ornelas v. United States}, 517 U.S. 690, 695-6 (1996); \textit{Alabama v. White}, 496 U.S. 325, 330 (1990)).

\textsuperscript{194} 392 U.S. 1 (1976).

\textsuperscript{195} See \textit{id}.

\textsuperscript{196} See \textit{id}.

\textsuperscript{197} See Urbonya (Issues), supra note 189, at 78.

\textsuperscript{198} See \textit{id.} at 78.

\textsuperscript{199} \textit{Id.} at 71-72 (quoting \textit{Katz v. United States}, 389 U.S. 347, 353 (1967)).

\textsuperscript{200} \textit{Id.} at 72 (quoting \textit{Katz}, 389 U.S. at 361 (Harlan, J., concurring)).

\textsuperscript{201} Six exceptions have been made to the warrant requirement, but each requires either consent or the presence of some level of articulable suspicion to search. The exceptions are: search of a suspect's general area during a lawful arrest (\textit{United States v. Robinson}, 414 U.S. 218 (1973)); search of automobiles (\textit{Carroll v. United States}, 267 U.S. 132 (1925)); objects in plain view (\textit{Horton v. California}, 496 U.S. 128 (1990)); consent of the searched (\textit{Schneckloth v. Bustamonte}, 412 U.S. 218 (1973)); a stop-and-frisk search based on reasonable suspicion (\textit{Terry v. Ohio}, 392 U.S. 1 (1968)); and when exigent circumstances either render the warrant procurement process impractical due to the danger to the public (\textit{Warden v. Hayes}, 387 U.S. 294 (1967)) or may allow the destruction of evidence to take place (\textit{Schmerber v. California}, 384 U.S. 757 (1966)).
a. Search warrants

A search warrant is a pre-authorized judicial authorization to conduct a search. Once a warrant is required, it must be issued before a search takes place in order for the search to be legally valid. To obtain a warrant, the requesting officer must explain to the judge or magistrate both the particular description of the place to be searched and the particular description of the things to be seized, and must also persuade the judge or magistrate that probable cause exists. The judge or magistrate will issue the warrant if he/she is satisfied that these elements have been met.

C. Seizures

The Supreme Court has defined the seizure of property as "some meaningful interference with an individual's possessory interests in that property." Seizure of a person was held to generally occur in three different ways:

1. Whether the officer, by means of a physical force or show of authority has in some way restrained the liberty of a citizen.
2. Whether a reasonable person would have believed that he was not free to leave, and the person in fact submitted to the assertion of authority.
3. Whether there was a governmental termination of freedom of movement through means intentionally applied.

These definitions focus on the assertion of authority and the use of physical force.

Seizures were also found to occur when deadly force is used to apprehend a suspect. Seizures of people can be either brief stops for questioning or actual

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202 See Katz, 389 U.S. at 347.
arrests. Seizure of a person by a brief stop may occur on the basis of reasonable suspicion alone. A full-blown arrest may only be based on probable cause, with or without an arrest warrant if the arrest takes place in a public place, or (generally) with a warrant if the arrest is at the suspect's home. A brief detention is also acceptable when the person detained is present during a police search of a premises when the search is conducted under the authority of a search warrant.

1. Stopping a person based on reasonable suspicion

Police may detain briefly a person for questioning if they have reasonable suspicion that the suspect is "engag[ing] in criminal activity," or that the individual "was involved in or is wanted in connection with a completed felony."

2. Arrests based on probable cause

a. Arrest without an arrest warrant

An arrest is the "taking of a person into [extended] custody [for the purpose of officially] charg[ing them] with a crime." Police may arrest a person in public without a warrant when certain conditions have been met. "A police officer has probable cause to arrest when 'the facts and circumstances within his knowledge and of which he has reasonably trustworthy information are sufficient to warrant a prudent person in believing that the suspect has committed or was committing an offense.'" This standard allows such an arrest, supported by probable cause, to take place as long as it happens in a public area. This level of protection has been extended further by the Supreme Court's decision in Payton v. New York, regarding the need for arrest warrants if the arrest takes place in the suspect's home.

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209 See Terry, 392 U.S. at 30.
210 See id.
218 Urbonya (Issues), supra note 189, at 75-76 (quoting Beck v. Ohio, 379 U.S. 89, 91 (1964)).
b. Arrests with an arrest warrant

The Court in *Payton* held that police generally need to secure an arrest warrant before entering a suspect's home to arrest him/her.\(^{220}\) No search warrant is needed if the home to be entered is the suspect's,\(^{221}\) but the Supreme Court has held that entry into a third party's home to execute an arrest warrant will first require a search warrant to be issued.\(^{222}\) Exceptions to this general rule exist and are discussed later in this Note.

In addition to the foundational requirements needed before a search or seizure may take place, the Supreme Court has placed further restraints on how the police carry out these searches and seizures.

D. Manner of Searching and Seizing

The Fourth Amendment stipulates that Americans shall have the right to be free from "unreasonable" searches and seizures.\(^{223}\) The Supreme Court has applied this principle to police conduct during the execution of searches and seizures and has arrived at a fairly murky set of standards with which to judge police actions.

1. "Reasonableness"

Courts have the authority to examine the reasonableness of the level of force used to arrest an individual,\(^{224}\) including the use of deadly force,\(^{225}\) as well as the actions taken to execute a search warrant.\(^{226}\) The police conduct at issue is not subject to a rigid standard of reasonableness.\(^{227}\) Under *Graham v. Connor*, "[d]etermining whether the force used to effect a particular seizure is 'reasonable' under the Fourth Amendment requires a careful balancing of 'the nature and quality of the intrusion on the individual's Fourth Amendment interests' against the

\(^{220}\) See id. at 576.

\(^{221}\) See id.


\(^{223}\) See U.S. CONST. amend. IV.

\(^{224}\) See Graham v. Connor, 490 U.S. 386, 395 n.10 (1989) ("A seizure triggering the Fourth Amendment's protections occurs . . . when government actors have . . . in some way restrained the liberty of a citizen.").

\(^{225}\) See Maravilla v. United States, 60 F.3d 1230, 1233 (7th Cir. 1995) ("Under the Fourth Amendment the inquiry is whether the officer's decision to use deadly force was objectively reasonable.") (citing Graham, 490 U.S. at 396-97).


\(^{227}\) See Graham, 490 U.S. at 396 ("The test of reasonableness under the Fourth Amendment is not capable of precise definition or mechanical application.") (quoting Bell v. Wolfish, 441 U.S. 520, 529 (1979)).
countervailing governmental interests at stake.\textsuperscript{228} "The 'reasonableness' inquiry in an excessive force case is an objective one: the question is whether the officers' actions are 'objectively reasonable' in light of the facts and circumstances confronting them."\textsuperscript{229} Thus, the Fourth Amendment's "proper application requires careful attention to the facts and circumstances of each particular case."\textsuperscript{230} These facts to be considered "includ[e] the severity of the crime at issue, whether the suspect poses an immediate threat to the safety of the officers or others, and whether [the suspect] is actively resisting arrest or attempting to evade arrest by flight."\textsuperscript{231}

The Supreme Court's interpretation of the Fourth Amendment restraints on the use of deadly force are especially important to police. Supreme Court rulings on deadly force have held that

\begin{quote}
where an officer has probable cause to believe that a person poses a threat of serious physical harm, either to the officer or others, or where an officer has been threatened with a weapon, it is not constitutionally unreasonable to use deadly force where necessary to prevent the person from harming others.\textsuperscript{232}
\end{quote}

This holding gives clear standards for when deadly force may be utilized by police, ensuring the proper application of such police authority.

The Supreme Court has clarified Fourth Amendment analysis methodology by stating in \textit{Whren v. United States}\textsuperscript{233} that, if probable cause existed, courts would only engage in a Fourth Amendment "reasonableness" balancing analysis if "extraordinary circumstances" were also present.\textsuperscript{234} These circumstances were identified as "seizure by deadly force, unannounced entry into a home, entry into a home without a warrant, and physical penetration of the body."\textsuperscript{235} The Supreme Court has also clarified the Fourth Amendment restraints on the types of warrantless

\textsuperscript{228} \textit{Id.} at 396 (quoting Tennessee v. Garner, 471 U.S. 1, 8 (1985) (quoting United States v. Place, 462 U.S. 696, 703 (1983))).
\textsuperscript{229} \textit{Id.} at 397.
\textsuperscript{230} \textit{Id.} at 396.
\textsuperscript{231} \textit{Id.}
\textsuperscript{232} \textit{Williams}, 804 F. Supp. at 1570 n.5 (citing Tennessee v. Garner, 471 U.S. 1, 11 (1985)).
\textsuperscript{233} 517 U.S. 806 (1996).
\textsuperscript{234} See Kathryn R. Urbonya, Fourth Amendment Issues in Section 1983 Litigation, 15 TOURO L. REV. 1623, 1624 (citing \textit{Whren}, 517 U.S. at 817) [hereinafter Urbonya (4th Amend.)].
\textsuperscript{235} \textit{Whren}, 517 U.S. at 818 (citations omitted). The effect of this ruling is that courts will give deference to police actions not falling within one of the "extraordinary areas" because "the presence of probable cause itself generally signifies that the challenged [police] action was reasonable." Urbonya (4th Amend.), supra note 234, at 1624.
and unannounced entries commonly justified under the “exigent circumstances”
principle.

2. Exigent Circumstances

Warrantless and unannounced police entries into private homes have been
frowned upon by American jurisprudence since before the Bill of Rights even
existed.\textsuperscript{236} Even so, Supreme Court rulings on the issue have carved out a definitive
set of standards which allow them to occur.

a. \textit{The Knock and Announce Rule}

The Supreme Court, through the trilogy of \textit{Wilson v. Arkansas},\textsuperscript{237} \textit{Richards v. Wisconsin},\textsuperscript{238} and \textit{United States v. Ramirez},\textsuperscript{239} has defined the scope of the Fourth Amendment’s “knock and announce” doctrine.\textsuperscript{240} In \textit{Wilson}, the Court stated that
the Fourth Amendment had incorporated the common law rule that police must
knock and announce their presence and purpose before entering a home to execute
a search or arrest warrant.\textsuperscript{241} The \textit{Richards’} ruling held that states could not
legislate a blanket exception to the knock-and-announce requirement.\textsuperscript{242} \textit{Ramirez}
involved the interpretation of 18 U.S.C. § 3109, a federal statute that codified the
knock-and-announce requirement and is applicable to federal law enforcement
officers.\textsuperscript{243} Each of these knock-and-announce cases held that the rule had an
“exigent circumstances” exception that would allow police to bypass knocking and
enter a home unannounced.

b. \textit{The exigent circumstances exception}

The exigent circumstance exception is probably one of the more controversial
legal principles of Fourth Amendment law. The general principle allows entry
without knocking or announcing if “the police . . . have reasonable suspicion that
knocking and announcing their presence, under the particular circumstances, would
be dangerous [to their or others’ safety] or futile, or that it would inhibit the

\textsuperscript{236} See G. Robert Blakey, \textit{The Rule of Announcement and Unlawful Entry}, 112 U. PA. L.
REV. 499 (1964).
\textsuperscript{237} 514 U.S. 927 (1995).
\textsuperscript{238} 520 U.S. 385 (1997).
\textsuperscript{239} 523 U.S. 65 (1998).
\textsuperscript{240} See Urbonya (4th Amend.), supra note 234, at 1636.
\textsuperscript{241} See id. at 1637 (citing \textit{Wilson}, 514 U.S. at 934-35).
\textsuperscript{242} See \textit{Richards}, 520 U.S. at 388.
\textsuperscript{243} See 18 U.S.C. § 3109; Miller v. United States, 357 U.S. 301, 313 (1958) (noting that
effective investigation of the crime by, for example, allowing the destruction of evidence.”

“The most common applications of the exception are where the officers believe they may be in danger because the defendant possesses a firearm, where there is a likelihood that the defendant may escape, or where it is likely that evidence may be destroyed before it can be recovered.”

Richards v. Wisconsin added another twist to the exigent circumstances exception by approving the practice of, and clarifying the standard for, police obtaining warrants pre-approving no-knock entries. The Court stated that “[t]he practice of allowing magistrates to issue no-knock warrants [is] entirely reasonable when sufficient cause to do so can be demonstrated ahead of time.”

This means that as long as police can convince a magistrate of the reasonable suspicion that exigent circumstances will exist at the time of arrest, they may get judicial authorization to conduct a no-knock entry. Richards also stated that if the magistrate refuses to grant the no-knock provisions of the warrant, the police are not precluded from declaring exigent circumstances exist at the scene and conducting a lawful no-knock entry anyway.

Another aspect of the no-knock entries is the question of “whether the[ir] lawfulness . . . depends on whether property is damaged in the course of the entry.” The Court in Ramirez v. United States stated that “[e]xcessive or unnecessary destruction of property in the course of a search may violate the Fourth Amendment, even though the entry itself is lawful and the fruits of the search are not subject to suppression.”

The Court held that as long as the Richards exigent circumstances standards for justifying a no-knock entry were met, resulting property damage would only be scrutinized under the Fourth Amendment “objective reasonableness” standard.

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244 Richards, 520 U.S. at 394. Included under the exigent circumstances umbrella is the “hot pursuit” doctrine, which allows police to pursue suspects into their homes without a search warrant as long as there is an “immediate and continuous pursuit.” See Welsh v. Wisconsin, 466 U.S. 740, 753 (1984).
246 Richards, 520 U.S. at 396 n.7 (emphasis added).
247 See id. ("[A] magistrate’s decision not to authorize a no-knock entry should not be interpreted to remove the officer’s authority to exercise independent judgment concerning the wisdom of a no-knock entry at the time the warrant is being executed."); Urbonya (4th Amend.), supra note 234, at 1638. The police actions are still subject to court review after the fact, if challenged.
249 Id. at 71.
250 See text accompanying note 248.
251 See Ramirez, 523 U.S. at 71-72 (“As for the manner in which entry was accomplished . . . [t]heir conduct was clearly reasonable.” Id. at 72.).
D. Summary of Legal Restraints on Police Conduct

The Supreme Court's Fourth Amendment jurisprudence has given police much leeway in the execution of their duties by promulgating the "objective reasonableness standard" as the Fourth Amendment test. What this standard emphasizes is that the actions of the police must be reviewed according to the particular facts and circumstances of each case. Such a standard is fair and workable, and allows SWAT teams to utilize their training as circumstances dictate in order to resolve the situation facing them as quickly and safely as possible.

V. LEGAL IMPLICATIONS OF SWAT TEAM ACTIVITY

By their nature, SWAT teams tread a thin line between reasonable and illegal police conduct.  

The Fourth Amendment requires that the actions of police be reasonable, especially in cases utilizing deadly force or no-knock entries.  

SWAT training with the Department of Defense teaches SWAT teams how to perform actions that may be perceived to be outside the "reasonableness" boundary of the Fourth Amendment.  

A. SWAT Warrant Service Utilizing DOD Training

The most controversial aspect of SWAT team deployment is their increasingly primary duty of executing search and arrest warrants. SWAT teams trained in special operations-developed tactical entry methods are at the edge of the Fourth Amendment. Courts are required to give their decisions some level of deference

252 See Tennessee v. Garner, 471 U.S. 1, 7 (1985) (stating that force likely to cause death or serious injury is subject to Fourth Amendment scrutiny); text accompanying notes 65-86 (describing SWAT tactics, including explosive-assisted building entries and specialized firearms training).

253 See text accompanying notes 185-251.

254 See, e.g., Weber, supra note 9, at 3 (criticizing this training as having "threaten[ed] civil liberties[] [and] constitutional norms").

255 See Booth, supra note 8, at A1 ("Between 1980 and 1995, for example, [Peter] Kraska found that SWAT units were employed in their traditional roles only for a minority of call-outs... but 75 percent of their mission is now devoted to serving high-risk warrants, mostly drug raids.").

The hostility to SWAT teams generally has been justified by criticism of the FBI Hostage Rescue Team's actions at Waco. See KOPEL & BLACKMAN, supra note 7 (literally making a "federal case" out of the Waco incident (no pun intended)); Weber, supra note 9, at 10 (bemoaning "the loss of innocent life at Waco" as an example of the danger of having SWAT teams).

256 See Graham v. Connor, 490 U.S. 386, 396-97 (1989) ("The calculus of [Fourth Amendment] reasonableness must embody allowance for the fact that police officers are
since "[t]he 'reasonableness' of a particular use of force must be judged from the perspective of a reasonable officer on the scene, rather than with the 20/20 vision of hindsight."\textsuperscript{257} SWAT entry methods such as using tow trucks to remove doors, the use of flash-bangs, no-knock "dynamic entries," explosive-assisted entries, and hastened entries after knocking have all been challenged under the Fourth Amendment as being unreasonable.\textsuperscript{258} The majority of these cases have found that the challenged actions have met the "objective reasonableness" standard, despite the extremity of the police conduct.\textsuperscript{259}

B. Measuring "Reasonable" Entry Standards

The Fourth Amendment requires an objective balancing of all facts when determining if the police actions are constitutionally reasonable.\textsuperscript{260} This indicates that as long as the "totality of circumstances" test is used to decide the reasonableness of a SWAT team's actions, such actions will continue to be found constitutional as long as they can be justified by the courts as reasonable under the circumstances. For example, the Third Circuit refused to find an "unreasonable" seizure where a SWAT team forced home occupants to lie face down in dirt outside their home at gunpoint, while continuously cursing at them for several minutes, often forced to make split-second judgments—in circumstances that are tense, uncertain, and rapidly evolving—about the amount of force that is necessary in a particular situation.

\begin{itemize}
\item \textsuperscript{257} See id. at 396.
\item \textsuperscript{259} See id. The Tenth Circuit concurring opinion in Jenkins v. Wood suggested that the § 1983 plaintiffs pursue state tort action due to the "egregious ... commando approach" taken by police. Jenkins, 81 F.3d at 996-977 (Henry, Circuit J., concurring). Otherwise, the plaintiffs were unable to prevail due to the fact they could not identify the actual SWAT team members involved. Id. at 995-96.
\end{itemize}
during the course of a warrantless domestic violence arrest, because the court was "reluctant to establish a precedent that would subject every police arrest of a group of possible violent offenders to compliance with Marquis of Queensberry Rules of fair play." These decisions illustrate that Fourth Amendment analysis tends to favor police decision-making in highly stressful, rapidly evolving situations. While this may be considered a positive legal principle from the perspective of law enforcement, valid policy arguments may be made against such police practices. Among these policy arguments is the heightened risk of a constitutional violation actually occurring.

C. Redressing Constitutional Violations by SWAT Teams

Though the "objective reasonableness" standard validates many SWAT actions, not all have been able to meet that requirement. A constitutional violation may mean huge damages levied against officers and the municipality for which they work, or the exclusion of crucial evidence, allowing violent criminals to be released from the criminal justice process. In one example, the farm city of Dinuba, California, reportedly lost a $12.5 million wrongful death suit brought by the family of a sixty-four year-old man who was killed by SWAT team members during the course of a no-knock raid. Other less spectacular rulings deal with evidentiary suppression or §1983 litigation. Some disturbing trends evidenced in the

261 Sharrar v. Felsing, 128 F.3d 810, 822 (3d Cir. 1997).
262 See Williams v. Richmond County, 804 F. Supp. 1561, 1569-70 (S.D. Ga. 1992), aff'd, 19 F.3d 36 (11th Cir. 1994), cert. denied, 513 U.S. 818 (1994) (distinguishing between constitutional violations and "ordinary errors of judgment"). The district court based this decision on the Supreme Court ruling in Whitley v. Albers, 475 U.S. 312 (1986), in which prison officials' decision to shoot at inmates during a prison riot hostage rescue attempt was found not to violate a wounded prisoner's Eighth Amendment rights as long as the officials had not acted with "obduracy and wantonness." Whitley, 475 U.S. at 319, 322-26.
263 See Peter B. Kraska & Victor Kappeler, Militarizing American Police: The Rise and Normalization of Paramilitary Units, 44 SOC. PROBS. 1 (1997) (arguing that the rise in SWAT teams is a symptom of an erroneous approach to effective policing strategy); Diane C. Weber, Are SWAT Teams Paramilitary?, WORLD & I, Jan. 1, 2000, at 78 available at 2000 WL 9050671 [hereinafter Weber (Paramilitary)] (asking whether "machine guns and flash-bang grenades have a place in civil society"); Weber, supra note 9.
264 See Weber (Paramilitary), supra note 263 (describing several tragic incidents arguably precipitated by aggressive SWAT team actions).
265 See Mark Arax, Small Farm Town's SWAT Team Leaves Costly Legacy, L.A. TIMES, Apr. 5, 1999, at A1 available at 1999 WL 2145825. Though the litigation in this case was reported to have taken place in the Fresno, California, Federal District Court, there appears to be no record of a jury verdict or court opinion.
266 See United States v. Dice, No. 98-3092, 2000 U.S. App. LEXIS 74 (6th Cir. Jan. 6, 2000) (no-knock entry was unreasonable); United States v. Maez, 872 F.2d 1444 (10th Cir.
caselaw indicate that § 1983 principles may hinder plaintiffs' attempts to redress wrongs committed by SWAT units.

1. § 1983 issues

"42 U.S.C. § 1983 provides a cause of action for persons whose rights, privileges, or immunities secured by the Constitution or laws of the United have been deprived 'under color of any statute, ordinance, regulation, custom, or usage, of any State.'" Though this statute sounds as though it allows effective redress, the Supreme Court has carved out the affirmative defense of "qualified immunity" which "provides police officers with immunity from paying damage awards if they did not violate 'clearly established' constitutional law 'of which a reasonable person should have known.'" As one court plainly stated, "[o]ur society does not want its police officers to worry about potential litigation; it wants them to concentrate on maintaining law and order in our cities and towns."

a. "Qualified immunity"

Qualified immunity involves a two-part analysis: "(1) Has the plaintiff stated a violation of a constitutional right? and (2) If so, was that right clearly established, that is, were the 'contours of the right sufficiently clear that a reasonable official would understand what he (or she) is doing violates that right?'" "If reasonable minds would differ as to whether the official's conduct was clearly illegal, then immunity should attach."

The first step of stating a constitutional violation may sound easy, but is not in

1989) cert denied, 498 U.S. 1104 (1991) (finding that SWAT team's order, from outside a house, for occupants to leave house at gunpoint was in effect a warrantless nonconsensual search of premises for a suspect); United States v. Curzi, 867 F.2d 36 (1st Cir. 1989) (same); United States v. Dupras, 980 F. Supp. 344 (D. Mont. 1997) (insufficient evidence to justify no-knock entry).

267 Alexander v. City & County of San Francisco, 29 F.3d 1355 (9th Cir. 1994), cert. denied sub nom. Lennon v. Alexander, 513 U.S. 1083 (1995) (SWAT entry without an arrest warrant, despite having an administrative plumbing inspection warrant, was unreasonable due to the attempted arrest being the actual purpose for entering).


269 Urbonya (Issues), supra note 189, at 101 (citing Harlow v. Fitzgerald, 457 U.S. 800, 812 (1982)).


272 Liebenstein, 826 F. Supp. at 1184 (citing Malley v. Briggs, 475 U.S. 335, 341 (1986)).
light of the "objective reasonableness" standard. A plaintiff must state what constitutional violation has taken place.273 The second step of the analysis is much harder, though especially important in the context of evaluating SWAT tactics learned from the Department of Defense. All that is required for qualified immunity on this second prong is the raising of a "legitimate question" as to the legality of the conduct.274 This low threshold has been met in numerous cases on precisely this question of "arguable legality."275 Due to the recent acquisition of DOD training276 there is a lack of legal authority defining the Fourth Amendment's control of the use of such tactics. The result is the predictable granting of qualified immunity, as lower courts have already done.277

b. The "personally engage" requirement

Another important factor in § 1983 SWAT litigation concerns the requirement that the plaintiff show that the defendant personally engaged in the alleged violation.278 Critics have documented thoroughly the practice of SWAT members

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273 See Crosby v. Paulk, 187 F.3d 1339 (11th Cir. 1999) (finding that plaintiff failed to state a violation of a constitutional right); Williams, 804 F. Supp. at 1569-71 (finding no constitutional violation, therefore analysis ends).

274 Urbonya (Issues), supra note 189, at 103.

275 One disturbing case where the defendants prevailed under qualified immunity involved the Philadelphia police department's aerial bombing of a home, resulting in massive property damage when the whole block burned down. See In re City of Philadelphia Litig., 49 F.3d 945 (3rd Cir. 1995) (plurality opinion), cert. denied 516 U.S. 863 (1995). For another example, see Sharrar v. Felsing, 128 F.3d 810, 822 (3rd Cir. 1997):

While the language and method used to effect the arrests appear to be more akin to the Rambo-type behavior associated with police in over-dramatized B movies or TV shows than the police conduct ordinarily expected in a quiet, family seaside town, we are reluctant to establish a precedent that would subject every police arrest of a group of possible violent offenders to compliance with Marquis of Queensberry Rules of fair play.

In one strange case, a sheriff was granted qualified immunity after being sued for not using a SWAT team. See Salas v. Carpenter, 980 F.2d 299 (5th Cir. 1992).

276 See supra text accompanying notes 118-25 (describing the recent growth of such training in only the past ten years).

277 See supra note 256 (citing only one federal district court case addressing the issue of explosive-assisted entries in the past twenty years). One case involving the shooting death of a hostage taker explicitly used this second prong to reason that a lack of jurisprudence, namely lawsuits brought by dead hostage-takers concerning "unreasonable" deadly force, was enough to find qualified immunity for the officers. See Malignaggi v. County of Gloucester, 855 F. Supp. 74, 79-81 (D.N.J. 1994).

278 See, e.g., Jenkins v. Wood, 81 F.3d 988 (10th Cir. 1996) (dismissing § 1983 suit against SWAT supervisors on this very issue). "Team liability" is barred under this principle. See Chuman v. Wright, 76 F.3d 292, 294-95 (9th Cir. 1996).
concealing their identities with masks, goggles, or balaclavas. Courts also mention the wearing of masks by SWAT members. The result of this use of masks is that injured parties cannot identify the persons “personally engaging” in the violation of their constitutional rights. Critics of SWAT teams are quick to pick up on this fact: “[Masks] prevent identification of rogue officers so that they cannot be sued later for criminal acts.” The apparent justification for the masks is the psychological intimidation of suspects. If this is the only rationale, a policy argument concerning the ability of wrongly treated citizens to seek redress through § 1983 litigation seems a compelling justification for doing away with the use of masks. Not only would this allow the proper identification of guilty parties, but it would help the public image of SWAT members.

c. Municipal liability under § 1983: “policy or custom”

To establish municipal liability under § 1983, a plaintiff must be able to show that the alleged constitutional violation was the result of an official policy or custom.
of the municipality.285 "The plaintiff must also demonstrate that there is a direct causal link between the municipal policy [or custom] and the constitutional deprivation."286

i. Municipal "policy"

A municipal policy may be shown in three ways: a decision maker's deliberate choice, made among various alternatives; actions of a decision maker possessing final authority to establish municipal policy with respect to the complained action (not including the discretionary actions of low-level officials); or by the showing of a failure to take official action in some area, such as providing adequate police training.287 A city's failure to provide adequate training can only be such a "policy" if that failure

[E]vidences a "deliberate indifference" to the rights of persons whom the police come in contact . . . . It may happen that . . . the need for enhanced training is so obvious, and the inadequacy of training is so likely to result in the violation of constitutional rights, that a jury could reasonably attribute to the policymakers a deliberate indifference to those training needs. In such a case, the failure to offer proper training constitutes a policy for which a city is liable when improper training actually imposes injury.288

But, "a single incident of unconstitutional activity is not sufficient to impose liability unless proof of the incident includes proof that it was caused by an existing, (unconstitutional municipal policy) . . . attributed to a (municipal policymaker)."289 The Supreme Court has defined "policymaker" as the "final policymaker[s] . . . for the local government in a particular area, or on a particular issue."290 This question may be a matter of state law, especially law enforcement powers delegated under state constitutions. For example, in McMillan v. Monroe County291 the Supreme

285 See Tapia v. City of Greenwood, 965 F.2d 336, 338 (7th Cir. 1992) (citing Pembaur v. Cincinnati, 475 U.S. 469, 479-80 (1986)). Although the Supreme Court has clearly defined the "policy" aspect, it has not addressed the "custom" aspect of municipal liability. See Myriam E. Gilles, Breaking the Code of Silence: Rediscovering "Custom" in § 1983 Municipal Liability, 80 B.U. L. REV. 17, 49 (2000)(claiming that § 1983 "custom" scrutiny has been "forgotten" by the Supreme Court).

286 Tapia, 965 F.2d at 338 (citing Canton v. Harris, 489 U.S. 378, 385 (1989)).

287 See Gilles, supra note 285, at 35-37, 41-47.

288 Tapia, 965 F.2d at 338-39 (citations omitted).

289 Id. at 339-40 (citing Oklahoma City v. Tuttle, 471 U.S. 808, 823-24 (1985)).


291 Id.
Court held that a county sheriff was not a § 1983 policymaker for purposes of municipal liability because Alabama law dictated that law enforcement authority was vested at the state level, and not the county level.\(^{292}\) In another, a plaintiff's claims were dismissed when she was able to “cite neither facts nor law showing that a San Francisco [SWAT] commander is an authorized decision maker for the City and County of San Francisco.”\(^{293}\)

This “policy” standard allows municipalities to immunize themselves from § 1983 liability by ensuring that they have some sort of constitutionally-sound basic training program, regardless of whether officers adhere to it during a certain operation.\(^{294}\) Because SWAT officers are typically drawn from the rank-and-file of the police force,\(^{295}\) “no distinction should be drawn between basic law enforcement training and SWAT Team training with regard to . . . procedures [common to both duties, such as executing search warrants].”\(^{296}\) The predicted result of SWAT litigation under this standard is that municipalities will prevail as a matter of law concerning the use of DOD-trained tactics because their “official” policy will be shown to be constitutionally sound. For plaintiffs, this leaves pleading a “custom” as a basis for municipal liability.

ii. Municipal “custom”

The issue of pleading a § 1983 “custom” in terms of an unwritten policy of SWAT teams using unconstitutional methods learned from the DOD is an open-ended question.\(^{297}\) To show custom, a plaintiff must “be able to prove the existence of a widespread practice that, although not authorized by written law or express municipal policy, is so permanent and well settled as to constitute a ‘custom or usage’ with the force of law.”\(^{298}\) Just what evidence is required to have such a

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\(^{292}\) See id. at 786-96.

\(^{293}\) Alexander v. City & County of San Francisco, 29 F.3d 1355, 1368 (9th Cir. 1994) cert. denied, 513 U.S. 1083 (1995).

\(^{294}\) See Tapia, 965 F.2d at 339-40 (holding that illegal SWAT entry could not be attributed to an official municipal policy under the “failure to train” principle, because the mere existence of basic police training adhering to the minimum standards established and governed by state law negated the assertion that “the need for enhanced training was so obvious, and the inadequacy of training was so likely to result in a constitutional violation . . . [that] deliberate indifference . . .” was evident. Id. at 338.).

\(^{295}\) See supra text accompanying notes 87-94 (explaining the SWAT member selection process).

\(^{296}\) Tapia, 965 F.2d at 339 n.2.

\(^{297}\) See Gilles, supra note 285, at 72-92 (claiming that although the Supreme Court has “forgotten” about this area of § 1983 jurisprudence, the possibility of utilizing it is still there).

showing of “custom” has not been defined by the Supreme Court, although a few police-related cases have successfully used this approach by “point[ing] to the unwritten practices adhered to by rank-and-file municipal officials as the basis for establishing [a] municipal [custom].”

To show a SWAT “custom” under this standard a plaintiff will have to show widespread, permanent, and settled use of specific tactics by a SWAT team. This custom must also be shown to have a direct causal link to the constitutional violation. This will be extremely difficult due to the nature of SWAT tactics being dependent on fact-specific situations. For example, the practice of having tow trucks pull off reinforced doors may not be used in every occasion, which undermines the argument that such a practice is a “permanent” one. Unless the plaintiff can show a consistently used practice, one that is applied regardless of situation, municipal liability will not attach.

d. **Summary of SWAT injury redress issues**

Plaintiffs injured by SWAT tactics learned from the DOD will find it hard to succeed under § 1983 litigation against both individual SWAT officers and the municipalities that employ them. The principles of qualified immunity, “personally engage,” and “policy or custom” for municipal liability, act as barriers to those seeking legal redress through the federal system.

Though the route of federal constitutional relief may be foreclosed, state and

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299 See Gilles, supra note 285, at 49.
300 See id. at 61-62 (citing Mathias v. Bingley, 906 F.2d 1047 (5th Cir. 1990); Jones v. City of Chicago, 856 F.2d 985 (7th Cir. 1988); Garza v. City of Omaha, 814 F.2d 553 (8th Cir. 1987)). Gilles also explains the how each case probably would have failed if the “policy” approach was used. Id. at 60-63.
301 Id. at 62.
302 See Praprotnik, 485 U.S. at 127.
304 See supra text accompanying notes 55-63 (describing the role mission-specific planning plays in SWAT operations).
305 See, e.g., Jones, 856 F.2d at 985 (finding that the police practice of keeping “secret files” was a “custom” for municipal liability purposes).
306 See Gorio v. Block, No.87-6334, 972 F.2d 1339 (Table), 1992 WL 185429, **3 (9th Cir. Aug. 5, 1992) (“[P]roof of random acts or isolated events are insufficient to establish custom”) (citing Thompson v. City of Los Angeles, 885 F.2d 1439, 1444 (9th Cir. 1989)).
common law tort claims remain available.\textsuperscript{307} Victims may still prevail under such doctrines as assault, battery, false arrest or imprisonment, negligence, and intentional infliction of emotional distress. SWAT actions may also violate state constitutions, opening another possible avenue of redress.\textsuperscript{308}

CONCLUSIONS

As was seen glaringly in Waco, guns and heavy-handedness may not always be the best response to tense situations involving unstable, armed suspects. At the same time, law enforcement must be allowed to respond to the changing face of crime in order to maintain the level of safety Americans want to take for granted.\textsuperscript{309} Few would argue against training police officers to better protect their communities.\textsuperscript{310} The introduction of emotion and rhetoric, focused on the source of that training, only clouds the issue of whether the training benefits the communities those police serve.

SWAT training with the DOD and the use of military techniques, although an emotional issue, is constitutionally valid. The Fourth Amendment allows an objectively reasonable police response to societal threats, to be judged on the basis of the particular facts at issue. SWAT tactics learned from the DOD will meet this “reasonableness” test in the majority of situations. However, this fact does not assure that police using these tactics may never infringe civil liberties.

The danger of using this training becomes evident when victims attempt to

\textsuperscript{307} See Jenkins v. Wood, 81 F.3d 988, 998 (10th Cir. 1996) (Henry, J., concurring) ("[T]hese plaintiffs, possibly wronged, may not rely on a constitutional tort for solace. Common law or state tort relief is the normal recourse for actions making as little sense as these."); Ting v. United States, 927 F.2d 1504, 1513-15 (9th Cir. 1991) (analyzing California tort law claims against FBI SWAT agents, allowed under the Federal Tort Claims Act).

\textsuperscript{308} For examples of state supreme court rulings on the legality of no-knock entries under state constitutions, see Poole v. United States, 630 A.2d 1109 (D.C. 1993) cert. denied, 513 U.S. 855; State v. Bamber, 630 So.2d 1048 (Fla. 1994); State v. Attaway, 870 P.2d 103 (N.M. 1994); State v. Schultz, 819 P.2d 762 (Or. 1991).

\textsuperscript{309} The SWAT officers that responded to the 2000 Columbine High School shootings have been criticized for not acting aggressively enough, and several lawsuits are pending against team members for this perceived lack of aggression. See Peggy Lowe, SWAT Member Won’t Testify With Threat of Lawsuits By Victims’ Families, City Advises Him Not to Speak to Columbine Commission, DENVER ROCKY MOUNTAIN NEWS, June 23, 2000, at 7A.

\textsuperscript{310} As a response to the criticism of the SWAT tactics at Columbine High School, police departments nationwide are training regular-duty officers to conduct tactical entries and CQB-based building searches in an effort to be more “proactive” when confronted by a similar situation. See Josh White, New Tactics Built on Tragic Lessons; County Police Taught Ways to Intervene in Violent Cases, WASH. POST, May 24, 2000, at Prince William Extra 1; Jane Prendergast, Police Adopting New Approach to Shootings, CINCINNATI ENQUIRER, Aug. 29, 2000, at A01.
redress any resulting constitutional injuries. The exclusionary rule will bar evidence found to be unreasonably obtained by SWAT teams, but this "reasonableness" test is often hard for police to fail. Litigation under § 1983 presents a substantial barrier to injured people seeking compensation from SWAT officers or the municipalities that field them. Jurisprudence in this area gives substantial deference to police officer decisions, and effectively insulates municipalities from liability.

The Constitution has stood as a barrier between governmental abuse and American civil liberties. Police departments, the thin blue line between lawlessness and the rule of law, serve as the guardians of those liberties. Those SWAT members who have sworn to follow this tradition must take care not to fall on the wrong side of that thin blue line.

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